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Sheet 1 of 2

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| <b>FORM PTO-1449 (Modified)</b><br><br>LIST OF PATENTS AND PUBLICATIONS<br>FOR APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>2002-IP-009210U1 | SERIAL NO.<br>Unknown |
|   | APPLICANT<br>Philip D. Nguyen, et al |                       |
|   | FILING DATE<br>Concurrently Herewith | GROUP<br>Unknown      |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | Document No. | Date     | Name               | Class | Subclass | Filing<br>Date if<br>Appropriate |
|---------------------|----|--------------|----------|--------------------|-------|----------|----------------------------------|
|                     | AA | 4,387,769    | 06/14/83 | Erbstoesser, et al | 507   | 219      |                                  |
|                     | AB | 4,526,695    | 07/02/85 | Erbstoesser, et al | 507   | 219      |                                  |
|                     | AC | 6,172,011    | 01/09/01 | Card, et al        | 507   | 204      |                                  |
|                     | AD | 5,924,488    | 07/20/99 | Nguyen, et al      | 166   | 280      |                                  |
|                     | AE | 6,209,643    | 04/03/01 | Nguyen, et al      | 166   | 276      |                                  |
|                     | AF | 6,047,772    | 04/11/00 | Weaver, et al      | 166   | 276      |                                  |
|                     | AG | 5,908,073    | 06/01/99 | Nguyen, et al      | 166   | 276      |                                  |
|                     | AH | 5,791,415    | 08/11/98 | Nguyen, et al      | 166   | 280      |                                  |
|                     | AI | 4,694,905    | 09/22/87 | Armbruster         | 166   | 280      |                                  |
|                     | AJ | 4,785,884    | 11/22/88 | Armbruster         | 166   | 280      |                                  |
|                     | AK | 4,986,355    | 01/22/91 | Casad, et al       | 166   | 295      |                                  |

## FOREIGN PATENT DOCUMENTS

|  |    | Document No. | Date | Country | Class | Subclass | Translation |    |
|--|----|--------------|------|---------|-------|----------|-------------|----|
|  |    |              |      |         |       |          | Yes         | No |
|  | AL |              |      |         |       |          |             |    |
|  | AM |              |      |         |       |          |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|          |    |   |
|----------|----|---|
|          | AN | "Poly(phenyllactide): Synthesis, Characterization, and Hydrolytic Degradation" published in Biomacromolecules, Vol. 2, No. 3, 2001, pages 658-663 by Tara L. Simmons and Gregory L. Baker.            |
|          | AO | "Synthesis and Properties of Polymers Derived from Substituted Lactic Acids" published in 2001 American Chemical Society, Chapter 12, pages 147-159 by Mao Yin, Tara L. Simmons and Gregory L. Baker. |
|          | AP | "Preparation and Characterization of Substituted Polylactides" published in 199 American Chemical Society, Volume 32, Number 23, pages 7711-7718 by Mao Yin and Gregory L. Baker.                     |
| EXAMINER |    | DATE CONSIDERED   |

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## U.S. PATENT DOCUMENTS

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|---------------------|----|--------------|----------|---------------|-------|----------|----------------------------------|
|                     | AA | 4,715,967    | 12/29/87 | Bellis, et al | 252   | 8.551    |                                  |
|                     | AB | 4,886,354    | 12/12/89 | Welch, et al  | 356   | 70       |                                  |
|                     | AC | 4,986,353    | 01/22/91 | Clark, et al  | 166   | 279      |                                  |
|                     | AD | 4,957,165    | 09/18/90 | Cantu, et al  | 166   | 295      |                                  |
|                     | AE | 4,848,467    | 07/18/89 | Cantu, et al  | 166   | 281      |                                  |
|                     | AF | 3,960,736    | 06/01/76 | Free, et al   | 252   | 8.55     |                                  |
|                     | AG | 5,216,050    | 06/01/93 | Sinclair      | 524   | 108      |                                  |
|                     | AH | 6,323,307    | 11/27/01 | Bigg, et al   | 528   | 354      |                                  |
|                     | AI |              |          |               |       |          |                                  |
|                     | AJ |              |          |               |       |          |                                  |
|                     | AK |              |          |               |       |          |                                  |

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|--|----|--------------|------|---------|-------|----------|-------------|----|
|  |    |              |      |         |       |          | Yes         | No |
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|  | AN |  |  |  |  |  |  |  |
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